

**APPENDIX 2.A.3 TO ANNEX 2.A**  
**EFTA-INDIA CERTIFICATE OF ORIGIN**

*Article 1*  
*General Provisions*

1. Pursuant to subparagraph 1(b) of Article 13 of Annex 2.A (Rules of Origin), a certificate of origin may be issued by India's authorised agencies, or by an exporter through a self-declaration system.
2. A specimen of the EFTA-India Certificate of Origin including its Overleaf Notes is set out at the end of this Appendix.

*Article 2*  
*Application*

1. At the time of carrying out the formalities for exporting the products eligible for preferential treatment under the Agreement, an exporter or his authorised representative must submit a written application for an EFTA-India Certificate of Origin together with appropriate supporting documents proving that the products to be exported qualify for the issuance of an EFTA-India Certificate of Origin. In the case of self-declared certificates of origin, the exporter must certify the origin on the basis of appropriate supporting documents.
2. The EFTA-India Certificate of Origin must be completed in English.
3. Article 3 shall not apply to products of which, by their nature, origin can be easily verified.

*Article 3*  
*Issuance of EFTA-India Certificates of Origin*

1. The issuing authority shall issue an EFTA-India Certificate of Origin if the products fulfil the rules of origin and satisfy the other requirements of Annex 2.A (Rules of Origin). EFTA-India Certificates of Origin shall bear a unique reference number.
2. The format of the EFTA-India Certificate of Origin issued by the authorised agencies or self-declared by the exporter are set out in Attachments 1 and 2 of this Appendix.
3. A copy of the EFTA-India Certificate of Origin shall be retained by the issuing authority and exporter for at least five years.
4. Boxes 9 and 10 indicated in the Certificate must contain a physical or electronic signature.

**Article 4**  
***Time of Issuance and Retrospective Issuance***

1. The EFTA-India Certificate of Origin shall be issued at the latest within 5 working days from the date of export, provided the products can be considered originating in that Party in accordance with Annex 2.A (Rules of Origin).
2. In exceptional cases, where an EFTA-India Certificate of Origin has not been issued at the latest within 5 working days from the date of export due to errors or involuntary omissions or other valid causes, the EFTA-India Certificate of Origin may be issued retrospectively but not later than one year from the date of export, bearing the words “ISSUED RETROSPECTIVELY”.

**Article 5**  
***Corrections***

1. Neither erasures nor superimposition shall be allowed on the EFTA-India Certificate of Origin . Any alteration must be made by striking out the erroneous text and making any addition required. Unused spaces must be crossed out to prevent any subsequent addition.
2. In the case of an EFTA-India Certificate of Origin issued by authorised agencies, alterations referred to in paragraph 1 shall be approved and certified by the relevant issuing authority.

**Article 6**  
***Minor Errors and Discrepancies***

1. Without prejudice to Article 5, minor discrepancies between the EFTA-India Certificate of Origin and other documents submitted to the customs authority of the importing Party, such as typing errors in an EFTA-India Certificate of Origin, shall not as such render it invalid.

## OVERLEAF NOTES

1. **ORIGIN CRITERIA:** For goods that meet the origin criteria, the exporter and/or producer must indicate in box 5 of this form, the origin criteria met, in the manner shown in the following table:

Circumstances of production or manufacture in the first country named in box 1 of this form	Insert in box 5
Goods wholly obtained or produced in the territory of the exporting Party	“WO”
Goods that fulfil the requirements set out in Article 4 of Annex [Rules of Origin]	PSR
Goods that fulfil the requirements set out in Article 6 of Annex [Rules of Origin]	cumulation

2. **EACH ITEM MUST QUALIFY:** It should be noted that all the goods in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.
3. **DESCRIPTION OF GOODS:** The description of goods must be sufficiently detailed to enable the goods to be identified by the customs officers examining them. The name of the manufacturer and any trademark must also be specified.
4. **HARMONIZED SYSTEM CLASSIFICATION NUMBER:** The Harmonized System classification number (six digits) must be that of the exporting Party.
5. **EXPORTER:** The term “Exporter” in box 1 may include the manufacturer or the producer.

**ATTACHMENT 1 TO APPENDIX 2.A.3  
CERTIFICATE OF ORIGIN ISSUED BY AUTHORISED AGENCIES**

Exporter's Name, Address and Country:	Certification No.	Number of page /	
Importer's Name, Address and Country (Optional)	AGREEMENT BETWEEN THE EFTA STATES AND INDIA FOR AN ECONOMIC PARTNERSHIP  CERTIFICATE OF ORIGIN  <u>Issued in India</u>		
Transport details (Optional)			
Item number (as necessary); Marks and numbers; Number and kind of packages; Description of good(s); HS tariff classification number	5. Origin criterion	6. Quantity	7. Invoice number(s) and date(s)- Optional
8. Remarks:			
<input type="checkbox"/> ISSUED RETROSPECTIVELY			
9. Declaration by the exporter: I, the undersigned, declare that: - the above details and statement are true and accurate; - the good(s) described above meet the condition(s) required for the issuance of this certificate; - the country of origin of the good(s) described above is  Place and Date:  Signature:  Name (printed):  Company:	10. Certification It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.  Authorised Agency of India:  Stamp  Place and Date:  Signature:		

**ATTACHMENT 2 TO APPENDIX 2.A.3  
SELF-DECLARED CERTIFICATE OF ORIGIN**

Exporter's Name, Address and Country:	Certification No.	Number of page /	
Importer's Name, Address and Country (Optional)	AGREEMENT BETWEEN THE EFTA STATES AND INDIA FOR AN ECONOMIC PARTNERSHIP  CERTIFICATE OF ORIGIN  <u>Issued in India</u>		
Transport details (Optional)			
Item number (as necessary); Marks and numbers; Number and kind of packages; Description of good(s); HS tariff classification number	5. Origin criterion	6. Quantity	7. Invoice number(s) and date(s)- Optional
8. Remarks:			
<input type="checkbox"/> ISSUED RETROSPECTIVELY			
9. Declaration by the exporter: I, the undersigned, declare that: - the above details and statement are true and accurate; - the good(s) described above meet the condition(s) required for the issuance of this certificate; - the country of origin of the good(s) described above is  Place and Date:  Signature:  Name (printed):  Company:			